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# PenWP Test Plan and Test Report

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### KNMI, De Bilt, the Netherlands

This documentation was developed within the context of the EUMETSAT Ocean and Sea Ice Satellite Application Facility (OSI SAF).

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## **1** Introduction

This document is the test plan and test report for the Pencil beam Wind Processor (PenWP) software package. It is set up according to the guidelines of the NWP SAF; see the NWP SAF Development Procedures for Software Deliverables [4]. Parts of the PenWP developments are in fact genscat developments. The tests for genscat modules are also included in this document. Part of the test plan is a traceability matrix to show how requirements as described in the Product Specification [2] are related to the tests in this document.

Most of the module tests described in this document have been developed and performed for OWDP (the OSCAT Wind Data Processor), AWDP (the ASCAT Wind Data Processor) and SDP (the SeaWinds Data Processor) a large part of the code in genscat is shared between PenWP and other OSI SAF wind processors. For this new PenWP version, all module tests have been repeated.

#### **1.1** Aims and scope

The Pencil Beam Wind Processor (PenWP) is a software package written mainly in Fortran 90. The parts and libraries for handling HDF5, NetCDF, and BUFR format data have been partly written in C. The processor can handle data from the SeaWinds (on QuikSCAT or ADEOS-II), OSCAT (on Oceansat-2 or ScatSat-1), HSCAT (on HY-2A/B/C/D) and RapidScat (on the International Space Station) scatterometer instruments. Details of these instruments can be found in [5] and [6], respectively, and on several web sites, see e.g. information on the NASA and ISRO web sites. PenWP is intended to be a generic wind processor for Ku band pencil beam scatterometer data. It will be adapted to handle data from future instruments once they become available.

PenWP generates surface winds based on pencil beam radar backscatter data. It allows performing the ambiguity removal with the Two-dimensional Variational Ambiguity Removal (2DVAR) method and it supports the Multiple Solution Scheme (MSS). The output of PenWP consists of wind vectors which represent surface winds within the ground swath of the scatterometer. Input of PenWP is Normalized Radar Cross Section (NRCS,  $\sigma^0$ ) data. These data may be near real-time. The input files of PenWP are in BUFR. Conversion programs are included in the package to convert Hierarchical Data Format (HDF5) data from various instruments to BUFR. Output is written using the SeaWinds BUFR template or the KNMI BUFR template with generic wind section.

Depending on the grid spacing of the BUFR product, PenWP will process the data on 25 km, 50 km or 100 km grid spacing. The SeaWinds/RapidScat HDF5 to BUFR converters can create BUFR data on 25, 50 or 100 km grid spacing by averaging the backscatter data in the level 1b or level 2a input file to the requested gridding. The OSCAT HDF5 to BUFR converters will create BUFR data on 25 or 50 km grid spacing from level 1b data, or on 50 km from level 2a data. HSCAT level 2a input data are currently available on 25 km grid spacing but can also be averaged to a 50 km product. HSCAT level 1b data can also be averaged to a 25 km or 50 km product.

Apart from the scatterometer input data, PenWP needs Numerical Weather Prediction (NWP) model

winds as a first guess for the Ambiguity Removal step. These data need to be provided in GRIB edition 1 or 2 format.

Note that this Test Report only covers the technical and functional software tests and not the validation of scientific improvements in this new PenWP release, like:

- Capability to process data from the Haiyang-2B/C/D satellites.
- The new NSCAT-4DS Geophysical Model Function is included for improved consistency between Ku-band wind retrievals and C-band wind retrievals and buoy winds.
- Sea Surface Temperature dependent adjustments to the backscatter can be applied to reduce wind speed biases.
- Improved and New Quality Control flags have been introduced.
- The backscatter calibrations have been tuned for the generation of climate data records from QuikSCAT, RapidScat and Oceansat-2

The scientific improvements are described and validated in scientific validation reports and journal papers [9], [12], [13], [14], [15].

#### **1.2** Development of PenWP

PenWP is developed within the Ocean and Sea Ice Satellite Application Facility (OSI SAF) project. Originally the wind software packages were Numerical Weather Prediction Satellite Application Facility (NWP SAF) products but from the beginning of the CDOP3 SAF phase, they are OSI SAF products. The packages are still distributed through the NWP SAF web site. The coding is mainly in Fortran 90 and has followed the procedures specified for the NWP SAF. Special attention has been paid on robustness and readability. PenWP will run on Unix or Linux platforms. It is also possible to run on a Windows machine if a Linux environment like the Windows Installer for Ubuntu (Wubi) is installed. Details on the PenWP package and its system requirements can be found in [1], [2] and [3].

#### **1.3** Testing PenWP, traceability matrix

This section describes the Test Plan of the PenWP deliverable. Tests have been carried out in all stages of the development of PenWP. The inversion module is not tested for the PenWP package, because such a test has already been made for the QuikSCAT Data Processor (QDP) development. PenWP contains several methods for Ambiguity Removal within module *ambrem* and its sub modules. Only modules needed for the KNMI 2DVAR scheme for Ambiguity Removal are tested within this project.

Compilation is done on several platforms (operating systems) and with different Fortran 90 compilers. The integration and validation tests were done on both a Linux work station and a Linux server environment.

Section 2 contains the tests for a number of individual modules. In general, modules are tested with the associated test programs. The test programs are located in the folder containing the module under consideration. The output of the test programs is always the standard output (screen) which may be redirected to any test log file or to some output files which are stored in the associated folders. Section 3 describes the PenWP integration test. A test folder containing some sample data is

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provided with PenWP and some of the resulting wind fields from these data are shown. Section 4 discusses the validation tests. PenWP winds have been compared with ECMWF model winds in the scope of this report, buoy validations are or will be performed in the scope of the OSI SAF. Section 4 also contains a technical check of the ice screening algorithm. Section 5 describes the portability tests. It contains an overview of platform/operating systems and Fortran and C compilers for which PenWP is supported. Finally, section 6 is devoted to testing the user documentation.

The table below is the traceability matrix. It shows the requirements in the Product Specification (PS, [2]) or Top Level Design (TLD, [3]), how they are tested and where in this report these tests are described.

Require-		Section	Testing method	Test plan	Comment	Passed?
ment		TLD		(section)		
PenWP-001	PenWP generates surface winds	PS 2.1, 3.5, 3.7	Process L2A file in penwp/test folder and inspect output	3.1		Passed
PenWP-002	PenWP generates BUFR output in NOAA format and in KNMI format	PS 2.1, 3.1	Process L2A file in penwp/test folder and inspect output	3.1		Passed
PenWP-003	PenWP generates output in the same WVC spacing as the input data	PS 2.2, 3.2	Process L2A file in penwp/test folder and inspect output	3.1		Passed
PenWP-004	PenWP output contains latitude, longitude and other parameters	PS 2.2	Process L2A file in penwp/test folder and inspect output	3.1		Passed
PenWP-005	PenWP can use either L2A HDF5 data or BUFR data as input (HDF5 after conversion to BUFR)	PS 2.3	Process L2A HDF5 data in penwp/test folder and subsequently reprocess BUFR output	3.1		Passed
PenWP-006	PenWP reads GRIB data containing LSM, SST and forecast winds	PS 2.3	Process L2A file in penwp/test folder and check that a consistent wind field is obtained	3.1		Passed
PenWP-007	PenWP will compile and run on different Linux and Unix platforms	PS 2.4	Compile and run PenWP on different platforms	5		Passed
PenWP-008	L2A backscatter slices are	PS 3.2	Process a few orbits of data,	4.1	When averaging is not done well, a	Passed

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Require- ment		Section of PS / TLD	Testing method	Test plan reference (section)	Comment	Passed?
	averaged into a regular WVC swath grid.		check WVC swath grid, and compare output winds to ECMWF background winds.		noisy or inconsistent wind field is obtained. This is reflected in the statistics of scatterometer winds vs. ECMWF.	
PenWP-009	Atmospheric attenuations are computed and stored in output	PS 3.3	Process L2A file in penwp/test folder and inspect output	3.1	Atmospheric attenuations should be in the order of 0.2 to 0.3 dB	Passed
PenWP-010	WVCs with high MLEs must be rejected by Quality Control	PS 3.4	Process L2A file in penwp/test folder and check if QC flag is set for high MLE values	3.1		Passed
PenWP-011	Bayesian ice screening is implemented	PS 3.6	Process a few orbits of L2A data and inspect ice maps	4.2		Passed
PenWP-012	A product monitoring flag is implemented	PS 3.8	Not tested since there are no data with anomalous instrument performance available	-		-
PenWP-013	PenWP can process one orbit within 5 minutes wall clock time.	PS 3.9	Process L2A file in penwp/test folder and check processing time.	3.1		Passed
PenWP-014	Wind accuracy better than 2 m/s in wind component std. dev. with a bias of less than 0.5 m/s in wind speed	TLD 1.1	Process at least one day of data and compare output winds to ECMWF background.	4.1		Passed

Table 1.1Traceability matrix.

#### **1.4** Test folders

The Test folder of the PenWP software package is located in subdirectory penwp/tests. This subdirectory contains several input files for PenWP that are discussed in more detail in section 3. The scripts for executing these tests are located in directory penwp/execs. It is recommended to use these scripts (or a modified version) also for normal PenWP operation, as the environment variables needed by PenWP are set in these scripts.

As stated before, most test programs are located in the same directory as the module to be tested. See section 2 for detailed information.

#### 1.5 Conventions

Names of physical quantities (e.g., wind speed components *u* and *v*), modules (e.g. *bufrio\_module*), subroutines and identifiers are printed italic.

Names of directories and subdirectories (e.g. penwp/src), files (e.g. penwp.F90), and commands (e.g. penwp -f input) are printed in Courier. Software systems in general are addressed using the normal font (e.g. PenWP, genscat).

Hyperlinks are printed in blue and underlined (e.g. https://scatterometer.knmi.nl/).

## 2 Module tests

In this section the various tests to individual modules within PenWP are presented. The tests are listed alphabetically in the module name. Table 2.1 gives an overview of the modules tested, their location and the name of the associated test programs.

Module tests have been included in PenWP if the following conditions were satisfied:

- 1. The test does not require additional software.
- 2. The output of the test program is self-explanatory enough to judge the outcome of the test.

Note that in the test program outputs in this section, the number of digits displayed after the decimal point in real values may differ for different compilers. Also the real values may differ slightly due to rounding differences.

Location	Test program
genscat/support/BFGS	Test_BFGS
genscat/support/eccodes	test_read_BUFR1
genscat/support/convert	test_convert
genscat/ambrem/twodvar	Test_SOS
genscat/ambrem/twodvar	Test_SOS
genscat/support/datetime	TestDateTimeMod
genscat/support/ErrorHandler	TestErrorHandler
genscat/support/eccodes	test_read_GRIB1, test_read_GRIB2,
	test_read_GRIB3
genscat/support/hdf5	TestHDF5
genscat/support/file	TestLunManager
genscat/support/num	test_numerics
genscat/support/singletonfft	TestSingleton
genscat/support/sort	SortModTest
	Location genscat/support/BFGS genscat/support/eccodes genscat/support/convert genscat/ambrem/twodvar genscat/ambrem/twodvar genscat/support/datetime genscat/support/ErrorHandler genscat/support/eccodes genscat/support/hdf5 genscat/support/file genscat/support/file genscat/support/num genscat/support/singletonfft genscat/support/sort

Table 2.1 Overview of module tests.

#### 2.1 Module *BFGSMod*

Directory genscat/support/BFGS contains program Test\_BFGS. This program tests the minimization routine LBFGS and its associated routines in module *BFGSMod*. The routines in *BFGSMod* are slightly modified versions of the freeware routine LBFGS and its subroutines. LBFGS was written by J. Nocedal, see [7].

 $Program \, {\tt Test\_BFGS} \ finds \ the \ minimum \ of \ the \ function$ 

$$f(x) = \sum_{i=1}^{100000} (x-i)^4$$

The minimum is the point (1, 2, ..., 100000). The search starts at the origin. The typical output is

shown in table 2.2.

```
Program Test BFGS testing routine LBFGS
 Behavour of cost function:
 Iter
              Cost
    0 0.20001E+25
    1 0.19527E+25
    2 0.17724E+25
   84 0.29492E-15
   85 0.95608E-16
86 0.30995E-16
 Routine LBFGS completed succesfully
   Number of iterations
                                                 87
                                         :
                                         : 100000
   Dimension of problem
   Number of corrections in BFGS update
                                                  5
                                         :
   Cost function at start
                                         : 0.20001D+25
   Cost function at end
                                            0.30995D-16
                                         :
                                         : 0.10D-19
   Precision required
   Norm of final X
                                         : 0.18258D+08
   Norm of final G
                                            0.97625D-13
                                         :
   Minimum and Maximum error in solution : 0.000003 0.000005
                                          : 0.248 seconds
   Time needed
 Program Test BFGS completed succesfully.
```

**Table 2.2** Output of program Test\_BFGS.

#### 2.2 Module *bufrio\_module*

Directory genscat/support/eccodes contains program *test\_read\_BUFR1*. This program will read in a small BUFR test file, decode it, encode the data again and write them to an output BUFR file named testwrite.bufr. Hence, the program can be used to check the BUFR library. Table 2.3 shows the output generated by *test\_read\_BUFR1*.

```
open BUFR file
file name = ./testfile.bufr
number of BUFR messages in file = 1
number of subsets in BUFR message = 361
latitude position = 25
longitude position = 26
latitude range: -3.630 1.260
longitude range: 2.850 7.690
open BUFR file for writing
file name = ./testwrite.bufr
BUFR file was written successfully
```

 Table 2.3
 Output of program test\_read\_BUFR1.

#### 2.3 Module *convert*

Directory genscat/support/convert contains module *convert.F90*, a number of routines for the conversion of meteorological and geographical quantities. Its associated test program is *test\_convert*, and part of its output is listed in table 2.4. Program *test\_convert* produces quite a lot of output.

It starts with checking some conversions between different wind vector representations and transformations between different geographical coordinate systems, followed by a check of the

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transformation from orbit angles (p,a,rot(z)) to three-dimensional position (x,y,z).

Only the results for  $p = 0^{\circ}$  and 90° are (partly) shown in table 2.4; those for  $p = 10^{\circ}$ , 45°, and 70° are omitted. Program *test convert* ends with some trigonometric calculations on a sphere.

```
-------
u = 5.000000 v = -7.000000
                                  8.602325
uv to speed, uv to dir ====> sp =
                                             dir =
                                                        324.4623
sp = 8.602325 dir = 324.4623
                                      5.000002
                                                         -6.999999
speeddir_to_u, speeddir_to_v ====> u =
                                                  v =
met2uv: sp = 10.00000 dir = 135.0000
met2uv: ====> u = -7.071068 v = 7.0710
vv?met* u = -7.071068 v = 7.071068
_____
                                        7.071068
uv2met: ====> sp = 10.00000 dir =
                                          135.0000
lat,lon = 55.00000 5.00000
----> x v.z = 0.5713938
_____
                                        4.9990479E-02 0.8191521
x,y,z = 0.5713938 4.9990479E-02 0.8191521
xyz2latlon: ====>lat,lon = 55.00000 5.000
                                          5.000000
_____
                       rot z
                                    х
                                                                        rot zl
                                                                                     a2
                                                                                           rot z2
                 а
                                                                  a1
       g
                                               V
  0.00000 -90.00000
                     0.00000
                               0.00000
                                         0.00000
                                                  -1.00000
                                                           -90.00000
                                                                     106.16298
                                                                               270.00000
                                                                                           0.00000
  0.00000 -90.00000
                    15.00000
                                         0.00000
                                                           -90.00000
                                                                     105.59795
                                                                               270.00000
                               0.00000
                                                  -1.00000
                                                                                           9.72975
  0.00000
          -90.00000
                    30.00000
                               0.00000
                                         0.00000
                                                  -1.00000
                                                           -90.00000 103.95005
                                                                               270.00000
                                                                                          27.91061
  0.00000
          -90.00000
                     45.00000
                               0.00000
                                         0.00000
                                                  -1.00000
                                                           -90.00000
                                                                     101.35209
                                                                               270.00000
                                                                                          43.81981
  0.00000
          -90.00000
                     60.00000
                               0.00000
                                         0.00000
                                                  -1.00000
                                                           -90.00000
                                                                      98.00070
                                                                               270.00000
                                                                                          59.32336
                                                                      0.00000
  0.00000
                     0.00000
                                                  -0.17365
                                                                               190.00000
          -10.00000
                               0.98481
                                         0.00000
                                                           -10.00000
                                                                                         180.00000
  0.00000
          -10.00000
                     15.00000
                               0.95125
                                         0.25489
                                                  -0.17365
                                                           -10.00000
                                                                      15.00000
                                                                               190.00000 -164.99998
  0.00000
          -10.00000
                     30.00000
                               0.85287
                                         0.49240
                                                  -0.17365
                                                           -10.00000
                                                                      30.00000
                                                                               190.00000 -149.99998
 90.00000
                                                   0.00000
           45.00000
                     30.00000
                               0.25882
                                         0.96593
                                                            74.99999
                                                                       0.00000
                                                                               105.00000
                                                                                           0.00000
           45.00000
 90.00000
                     45.00000
                               0.00000
                                         1.00000
                                                   0.00000
                                                            90.00000
                                                                       0.00000
                                                                               90.00000
                                                                                           0.00000
 90.00000
           45.00000
                     60.00000
                               -0.25882
                                          0.96593
                                                   0.00000
                                                            74.99999
                                                                       0.00000
                                                                               105.00000
                                                                                           0.00000
 90.00000
                              0.00000
                                                                       0.00000
           90.00000
                     0.00000
                                         1.00000
                                                   0.00000
                                                            90.00000
                                                                               90.00000
                                                                                           0.00000
 90.00000
           90.00000
                     15.00000
                              -0.25882
                                         0.96593
                                                   0.00000
                                                            74.99999
                                                                       0.00000
                                                                               105.00000
                                                                                           0.00000
                                         0.86603
                                                   0.00000
 90.00000
           90.00000
                     30.00000
                              -0.50000
                                                            59.99999
                                                                       0.00000
                                                                               120.00000
                                                                                           0.00000
                                                                                           0.00000
 90.00000
          90.00000
                     45.00000
                              -0.70711
                                         0.70711
                                                   0.00000
                                                            45.00000
                                                                       0.00000
                                                                               135.00000
                     60.00000
                              -0.86603
                                                            30.00000
                                                                       0.00000
 90.00000
          90.00000
                                         0.50000
                                                   0.00000
                                                                               149.99998
                                                                                           0.00000
------
                          5.000000 latlon2 =
                                                              5.000000
lat_lon1 = 5.000000
                                                  6.000000
                                                     1.000000
angle distance = 0.9999999
                            (old function gives
                                                                 )
km distance =
                  111.3188
                          5.000000
                                      latlon2 =
                                                   56.00000
latlon1 =
            55.00000
                                                              5.000000
angle distance = 0.9999999
                            (old function gives
                                                     1.000000
                                                                )
km distance =
                   111.3188
                                                  86.00000
latlon1 =
            85.00000
                          5.000000
                                      latlon2 =
                                                             5.000000
angle distance = 0.9999999
                             (old function gives
                                                    1.000000
                                                                )
                  111.3188
km distance
latlon1 = 5.000000 5.000000 latlon2 = 5.000000
                                                              6.000000
angle distance = 0.9961945
                            (old function gives
                                                     0.9961947
                                                                )
                   110.8952
km distance =
                                      latlon2 =
            55.00000
                           5.000000
                                                   55.00000
latlon1 =
                                                            6.000000
                  0.5735716
angle distance =
                               (old function gives
                                                     0.5735765
                                                                 )
km distance =
                   63.84933
            85.00000
                           5.000000
angle distance = 8.7154694E-02 (old function gives 8.7155804E-02) km distance = 9.701961
latlon1 =
                                     latlon2 =
                                                  85.00000
                                                              6.000000
                                     latlon2 = -80.00000
                        -5.000000
latlon1 = -88.50000
                                                              6.000000
                                                                           SOUTH POLE EXAMPLE
angle distance = 8.532312
                            (old function gives
                                                   8.711980
                                                                )
km distance =
                   949.8073
```

Test WVC_Orientation WVC1 coordinates WVC2 coordinates WVC1 orientation WVC2 orientation	on (Lam1,Phi1) = -115.2000 (Lam2,Phi2) = -123.6500 Alfa1 = 173.5995 Alfa2 = 170.9747	-18.61000 -17.52000 (Should equal 173.5994720) (Should equal 170.9747467)
angle avg: 10,20: angle avg: -10,20: angle avg: -10,20: angle avg: 12,340: angle avg: 1,359: angle avg: 3,359: angle avg: 1,357:	15.00000 5.000000 182.0000 356.0000 0.000000 1.000000 359.0000	
ENDREALACC		

 Table 2.4
 Output of program test convert

#### 2.4 Modules *CostFunction* and *StrucFunc*

Module *CostFunc.F90* in directory genscat/ambrem/twodvar contains the cost function definition of the 2DVAR method. Module *StrucFunc* in the same directory contains the error covariance model of the background field. Large parts of these modules are tested in the single observation solution test implemented in program *Test\_SOS*. Table 2.5 lists its output.

The main idea behind this test is that the 2DVAR analysis increment can be calculated analytically in case of one single observation with unit probability. Starting with zero background increment and an observation increment ( $t_o$ , $l_o$ ) on the 2DVAR grid at the position with indices (1,1), the initial total cost function equals

$$J_t^{init} = \frac{t_o^2 + l_o^2}{\varepsilon^2}$$

where  $\varepsilon$  stands for the standard deviation of the observation error, which is set to 1.8 in *Test\_SOS*. The 2DVAR problem now reduces to a simple optimal interpolation problem. If the standard deviation of the background error is set to the same value as that of the observation error, the final solution has  $J_t^{fin} = J_o^{fin} + J_b^{fin} = \frac{1}{2} J_t^{init}$  with  $J_b^{fin} = J_o^{fin}$ . This allows construction of the final solution and its gradient, see [8] for more detailed information and a complete description of the 2DVAR method.

Program *Test\_SOS* reads the observation increment and the structure function parameters from an input file with default name *Test\_SOS.inp*, see below. There are two modes for calculating the Helmholz transformation coefficients, controlled by the variable *Mode* in routine *Set\_HelmholzCoefficients* in module *CostFunc.F90*. Mode is a character variable of length 2. Its default value is '*JV*' which stands for sampled continuum (the other value is '*HB*' which stands for periodic boundary conditions but these do not reproduce the correct scaling, see [8] for more details). The program copies the structure function parameters into the *SF*-struct, and the observation increments in the *TwoDvarObs*-struct. The structure function parameters are printed by routine *PrnStrucFuncPars*.

The error covariances are calculated numerically in module *StrucFunc*. For Gaussian structure functions, they can also be calculated analytically. The two methods are compared and the relative precision is printed. In table 2.5 it is 0.00345 for the stream function  $\psi$  and 0.0 for the velocity

potential  $\chi$ , since the latter quantity is identically zero in this example. The precision of the covariances depends on the correlation lengths  $R_{\psi}$  and  $R_{\chi}$ .

The total cost function and its gradient is evaluated by routine *JoScat* in module *CostFunction*. From this the cost function components and gradients at the final solution are calculated and checked against their analytical value. The (absolute) precision is printed. Finally, *Test\_SOS* checks the packing and unpacking routines of the control vector in both directions.

As stated before, program *Test\_SOS* reads its input from an input file. The name (and path) of that file must be given as command line argument of *Test\_SOS*. When omitted, the program assumes <code>Test\_SOS.inp</code> as input file. Table 2.6 gives the structure and contents of the input file, which is in free format. The last decimals of the output values may depend on machine precision.

PROGRAM Test SOS - Single Observation Soluton Check \_\_\_\_\_ Input read from file : Test\_SOS.inp Helmholz coefficients type : JV 2DVAR: 2DVAR: Parameters inside the StructFunc module: 2DVAR: Grid size in position domain : 100000.0 m 

 ZDVAR:
 Grid dimensions
 :

 2DVAR:
 Grid dimensions
 :

 2DVAR:
 Free edge size
 :

 2DVAR:
 Structure function type
 : Gaus

 32 by 32 5 points 2DVAR: Northern hemisphere: 2DVAR: Error standard deviation in psi : 2DVAR: Error standard deviation in chi : m/s 1,800000 1.800000 m/s 2DVAR: Rotation/divergence .... 2DVAR: Range parameter for psi 2DVAR: Range parameter for chi Rotation/divergence ratio : 1.000000 Range parameter for psi : 300000.0 2DVAR: Range parameter 101 cm. 2DVAR: Tropics: 2DVAR: Error standard deviation in psi : 2.00000 Error standard deviation in chi : 2.00000 0.1000000 m/s m/s 2DVAR:Entor standard deviation in chi :2.0000002DVAR:Rotation/divergence ratio:0.10000002DVAR:Range parameter for psi:300000.02DVAR:Range parameter for chi:300000.0 2DVAR: Southern hemisphere: 2DVAR: Error standard deviation in psi : -1.000000 2DVAR: Error standard deviation in chi : 76.00000 m/s m/s 2DVAR: Rotation/divergence ratio : 0.000000 Range parameter for psi 2DVAR: : 1.800000 2DVAR: Range parameter for chi 1.800000 : CheckCovMat - checking precision of Covariances Relative precision in covariances of psi: 3.3184644E-04 Relative precision in covariances of chi: 2.7596165E-04 Number of observations : 1 2046 Number of control variables : Obs2dvar after initialization: Jo i j Namb u v Jo gu gv 1 1 1.0 0.0 0.0000E+00 0.0000E+00 0.0000E+00 The gradient velocity fields duo and dvo (nonzero components only): duo dvo i j \_\_\_\_\_ The cost function of the solution: Observation part : 0.000000 Background part : 0.000000 0.000000 precision 0.000000 The background velocity field:

\_\_\_\_\_

**OSI SAF** 

u(1,1) : 0.000000 Expected value : 0.500000	precision	0.500000	
v(1,1) : 0.000000 Expected value : 0.000000	precision	0.000000	
Check background cost function			
Direct calculation from psi and chi	: 0.000000		
Calculation by Jb from control vector	: 0.000000	precision	0.000000
Check observation cost function			
Expected value	: 0.000000		
Calculation by Jo from control vector	: 0.000000	precision	0.00000
Precision in gradients better than	1.9753901E-10		
Check packing/unpacking:			
Precision in packing/unpacking of xi	0.00000		
Precision in packing/unpacking of psi	0.00000		
Precision in packing/unpacking of chi	0.000000		
Program Test_SOS completed.			

 Table 2.5
 Output of the single observation solution test.

Record	Item nr.	Name	Meaning
1	1	u0_ini	Initial observation increment in transversal direction (m/s)
1	2	v0_ini	Initial observation increment in longitudinal direction (m/s)
2	1	lparameter	Logical parameter indicating if 2DVAR parameters should
			be read from file
3	1	TDVParameterFile	Name of 2DVAR parameter file

Table 2.6Input file for Test\_SOS.

#### 2.5 Module *DateTimeMod*

Module *DateTimeMod.F90* in directory genscat/support/datetime contains general purpose date and time help functions. These are tested by program *TestDateTimeMod*, the output of which is listed in table 2.7.

```
time-tests
time: 14:22:03.70
                = 51723.70
time_real
time_{real} + 77.2 = 51800.90
time: 14:23:20.90
time2 is valid
 time1 =
time: 14:22:03.70
 time2 =
time: 14:23:20.90
 time 1 .ne. time2
 date-tests
date: 15-12-1999
date_int = 19991215
date_int + 1 = 1999
                     19991216
date: 16-12-1999
 date2 is valid
date1 =
date: 15-12-1999
date2 =
date: 16-12-1999
date 1 .ne. date2
 date-stepping-tests
 ERROR: The date
                      21000101 is outside the range
19000101...20991231, this is not implemented at this time
 ERROR: Julian routines differ from my own routines
date: 31-12-2099
```

```
next date int =
                    2147483647
date: 01-01-2100
next julian date int =
                             21000101
 all OK
 before:
time: 23:59:57.70
date: 31-12-1999
after incrementing by: 5.22 seconds
time: 00:00:02.92
date: 01-01-2000
valid time
 test of function date2string: 19991231
 test of function date2string sep: 1999-12-31
 test of function time2string: 235957
 test of function time2string sep: 23:59:57
before convert_to_derived_datetime:
date: 28-02-2005
time: 52:00:00.00
after convert to derived datetime:
date: 02-03-2005
time: 04:00:00.00
 Current date and time:
date: 26-10-2021
time: 09:56:52.60
```

**Table 2.7** Output of program *TestDateTimeMod*.

#### 2.6 Module *ErrorHandler*

Module *ErrorHandler.F90* in directory genscat/support/ErrorHandler contains routines for handling errors during program execution. The module is tested by program *TestErrorHandler*, the output of which is listed in table 2.8.

```
The Error Handler program_abort routine is set to
return after each error,
in order to try and resume the program...
testing: report_error
an error was reported from within subroutine: dummy_module_name1
error while allocating memory
testing: program_abort (with abort_on_error = .false.)
an error was reported from within subroutine: dummy_module_name2
error while allocating memory
=>> trying to resume the program ...
The Error Handler program_abort routine is set to
abort on first error...
testing: program_abort (with abort_on_error = .true.)
an error was reported from within subroutine: dummy_module_name2
error while allocating memory
```

 Table 2.8
 Output of program TestErrorHandler.

#### 2.7 Module gribio\_module

Module gribio\_module.F90 in directory genscat/support/eccodes contains routines for reading and decoding GRIB files. The module is tested by programs test\_read\_GRIB1, test\_read\_GRIB2 and test\_read\_GRIB3, the output of which is listed in tables 2.9 to 2.11. The test programs read in two small GRIB files (testfile.grib in GRIB edition 1 format and testfile.grib2 in GRIB edition 2 format) present in this directory and print some of their contents to the standard output. The environment variable \$GRIB\_DEFINITION\_PATH needs to be set and has to point to the directory containing GRIB definition tables. These are available in (...)/genscat/support/eccodes/definitions.

open GRIB edition 1 file file name = ./testfile.grib date of grib field = 20031111 time of grib field = 24 REALACC(4) derived date of grib field = 20031112 derived time of grib field = 0 lat 10u 10v speed lon 54.00 4.00 -4.576 8.006 9.221 54.00 4.50 -5.143 7.764 9.313 54.00 5.00 -5.034 7.520 9.050 54.00 7.276 8.786 5.50 -4.925 54.50 4.00 -4.849 8.455 9.747 54.50 4.50 -5.139 8.315 9.775 54.50 5.00 -5.200 8.426 9.902 54.50 5.50 -5.261 8.537 10.028 -5.267 8.577 55.00 4.00 10.065 4.50 55.00 -5.398 8.454 10.031 55.00 5.00 -5.416 8.620 10.180 55.00 5.50 -5.434 8.786 10.330 8.699 55.50 4.00 -5.686 10.392 55.50 4.50 -5.657 8.594 10.289 55.50 5.00 -5.632 8.814 10.459 55.50 5.50 -5.606 9.034 10.632 ENDREALACC open GRIB edition 2 file file name = ./testfile.grib2 date of grib field = time of grib field = 20031111 24 End of tests

 Table 2.9
 Output of program test read GRIB1.

```
retrieve grib field par_id_t
REALACC (4)
lat of first gridpoint =
                           89.142
lat step
                       =
                           -1.121
number of lat points
                     =
                             160
lon of first gridpoint =
                           0.000
lon step
                           1.125
number of lon points
                      =
                              320
           field(i,j)
         i
    i
   80
      160
               302,663
   80 161
               302.445
   80 162
               302.148
   80
      163
               301.560
   81 160
               301.999
               302.298
      161
   81
   81
      162
               301.808
   81 163
              301.708
   82
      160
               302.056
   82
      161
               302.117
   82
      162
               301.490
   82
       163
               301.888
   83
      160
               302.214
   83
      161
               302.001
      162
               301.796
   83
   83 163
               302.361
ENDREALACC
```

**Table 2.10** Output of program *test\_read\_GRIB2*.

```
retrieve grib field par id 10u
date of grib field = 20031111
time of grib field = 24
 WARNING: latitude dimension of field is too small to contain
 WARNING: the read data; truncating the array !!!!!
 original: nr_lat_points =
                                      160
 truncated: nr_lat_points =
                                        50
 WARNING: longitude dimension of field is too small to contain
 WARNING: the read data; truncating the array !!!!!
 original: nr_lon_points =
                                       320
 truncated: nr_lon_points =
                                        50
 REALACC(4)
        j field(i,j)
    i
   48
        48
              -0.414
        49
                 0.477
   48
   48
        50
                -0.111
   49
                 3.330
        48
   49
        49
                 2.899
   49
        50
                 3.252
   50
        48
                 3.503
   50
        49
                 2.408
   50
        50
                 3.212
 ENDREALACC
```

**Table 2.11** Output of program test\_read\_GRIB3.

#### 2.8 Module HDF5Mod

Module *HDF5Mod.F90* in directory genscat/support/hdf5 contains routines for reading and writing HDF5 files. It is tested by program *TestHDF5*, the output of which is listed in table 2.12. The test program reads in a small HDF5 file called deflate.h5 and displays some of its contents. After that, it creates a file called testfile.h5 and writes some data into it. Its contents can be checked e.g. with the command line utility h5dump.

```
Successfully opened file deflate.h5 with f id
                                                       72057594037927936
Successfully opened dataset /Dataset1 with d id
                                                        360287970189639680
Successfully closed dataset with d id
                                             360287970189639680
Successfully opened group / with g id
                                             144115188075855872
                                                       360287970189639681
Successfully opened dataset Dataset1 with d_id
Number of datapoints of dataset
                                    360287970189639681 is
                                                                      20000
First data values are:
     0
            1
                      2
                               3
                                       4
                                               0
                                                                2
                                                                        3
                                                        1
                                                                                 4
      0
                      2
              1
                              3
                                       4
                                               0
                                                        1
                                                                2
                                                                        3
                                                                                 4
Successfully closed dataset with d id
                                             360287970189639681
Successfully closed group with g_id
                                           144115188075855872
Successfully closed file with f id
                                          72057594037927936
End of file reading tests in TestHDF5
Successfully opened file testfile.h5 with f id
                                                        72057594037927937
Successfully created group Group1 with g_id
                                                   144115188075855873
Successfully wrote Attribute Attribute1 in group
                                                         144115188075855873
Successfully wrote Dataset Dataset1 int 1d in group
                                                           144115188075855873
                                                           144115188075855873
Successfully wrote Dataset Dataset2 int 2d in group
Successfully wrote Dataset Dataset3 float 1d in group
Successfully wrote Dataset Dataset4_float_2d in group
                                                              144115188075855873
                                                              144115188075855873
Successfully wrote Dataset Dataset5 string 1d in group
     144115188075855873
                                           144115188075855873
Successfully closed group with g id
Successfully closed file with f_id
                                           72057594037927937
End of file writing tests in TestHDF5
A HDF5 file called testfile.h5 was created
You can check its contents e.g. using the h5dump utility
```

End of TestHDF5

**Table 2.12** Output of program *TestHDF5*.

#### 2.9 Module *LunManager*

Module *LunManager.F90* in directory genscat/support/file contains routines for file unit management. It is tested by program *TestLunManager*, the output of which is listed in table 2.13.

```
Starting fileunit test program
 ===== lun_manager ======
                     31 was not in use !!!
 fileunit:
 free lun returns without freeing any fileunit
                  88 was not in the range that is handled
fileunit:
by this module ! (
                            30 -
                                                39)
free_lun returns without freeing any fileunit
                    88 was not in the range that is handled
 fileunit:
                         30 -
by this module ! (
                                                39)
enable lun returns without enabling any fileunit
                    88 was not in the range that is handled
 fileunit:
by this module ! (
                             30 -
                                                39)
disable lun returns without disabling any fileunit
                     21 was not in the range that is handled
 fileunit:
                                                .39)
by this module ! (
                             30 -
 disable lun returns without disabling any fileunit
        -
31 is used?:
31 is used?:
unit:
                                  F
unit:
                                   Т
 start of inspect_luns
                  0 is open
 lun
  lun
                  0 has a name: stderr
                  5 is open
 lun
 lun
                  5 has a name: stdin
  lun
                  6 is open
                  6 has a name: stdout
 lun
                 31 is open
31 has a name: TestLunManager.F90
 lun
 lun
 end of inspect_luns
 fileunit:
                      31 is still in use !
 disabling it is only possible if it is not used !
disable lun returns without disabling any fileunit
 fileunit:
                     30 is in use
                     31 is in use
32 is still available
33 is still available
 fileunit:
 fileunit:
 fileunit:
                     34 is still available
35 is still available
 fileunit:
 fileunit:
                     36 is still available
 fileunit:
                     37 is still available
38 is still available
 fileunit:
 fileunit:
                     39 is still available
21 was not in the range that is handled
 fileunit:
 fileunit:
 by this module ! (
                              30
                                                39)
enable lun returns without enabling any fileunit
                      22 was not in the range that is handled
fileunit:
by this module ! (
                              30 -
                                                39)
enable lun returns without enabling any fileunit
```

 Table 2.13
 Output of program TestLunManager.

#### 2.10 Module *Numerics*

Module *numerics.F90* in directory genscat/support/num contains routines for checking and handling numerical issues like variable sizes and ranges. These are tested by program *test\_numerics*, the output of which is listed in Table 2.14.

Starting numerics test program ===== representation tests ====== REALACC(6) r4: digits 24 r4: epsilon 1.1920929E-07 r4: huge 3.4028235E+38 r4: minexponent -125 r4: maxexponent 128 6 r4: precision r4: radix 2 r4: range 37 1.1754944E-38 r4: tiny ENDREALACC REALACC(12) 
 10. argics
 53

 r8: epsilon
 2.2204460492503131E-016

 r8: huge
 1.7976931348623167E+308

 r8: minexponent
 -1021
 r8: digits 53 r8: maxexponent 1024 r8: precision 15 r8: radix 2 307 r8: range 2.2250738585072010E-308 r8: tiny ENDREALACC ===== numerics tests ====== int1 = 127 int2 = 32767 int4 = 2147483647 int8 = 9223372036854775807 huge(int1) = 127 huge(int2) = 32767 huge(int4) = 2147483647 huge(int8) = 9223372 9223372036854775807 REALACC(6) r4 = 1.7000000E+38 ENDREALACC REALACC(12) r8 = 1.700000000000000E+038 ENDREALACC ===== check variable sizes ====== Variable sizes are as expected ===== detect and print variable sizes ====== var\_type nr\_of\_words range precision 4 1 i 9 i1\_ i2\_ 2 2 4 i4\_ 4 8 9 18 i8 4 4 37 dr 6 s\_ 1\_ 37 6 4 37 6 r\_ 4 37 6 37 r4\_ 4 6 r8 8 307 15 ===== dB conversion test ====== REALACC(6) input test number: 1.2300001E-04 converted to dB: -39.10095 converted to dB: -39.10095 converted back to a real: 1.2299998E-04 ENDREALACC ===== done ======

**Table 2.14** Output of program *test\_mumerics*.

#### 2.11 Module *SingletonFFT*

Module *SingletonFFT* in directory genscat/support/singletonfft contains routines for Fast Fourier Transforms. The associated test program is *TestSingleton*. Part of its output is shown in table 2.15.

------

PROG Test ====	RAM of ====	TestSin Singlet =======	gleto onFF: =====	on I roi =====	utine	s by	compa	ring ====	wi ====	th a	anal	.yti ====	cal ====	FT			
Spre Spre	adin adin ====	g times g times =======	grio grio	d si: d si:	ze in ze in =====	dime dime	ension ension	1: 2:	0 0	.10	0000	) 0 ) 0 =====		(shou (shou	ıld ıld	be ~ be ~	0.1) 0.1)
1D			FΟ	RW	AR	D		В	A C	КI	W A	R D					
N1		P r Rea	ec 1	i s	i o Ima	n g		P r Real	ес	i	s i I	o n Imag					
32 34	0.8	3631E-0	6 0	.1028	 86E-0 32E-0	4 0.	11921	E-06	0	.69	247E	E-07					
36	0.9	4782E-0	60	.122	15E-0	4 0. 5 0.	11921	E-06	0	.11	036E	5-06					
38 40	0.2	/8//E-0 3631E-0	60	.203: .1214	58E-0 43E-0	50. 40.	11921	E-06 E-06		.22 .54	604E 017E	S-07 S-07					
42 44	0.4	4603E-0 2900E-0	60. 60.	.562	52E-0	50. 60	77824	E-07 E-06	0	.92	940E 948F	5-07 5-06					
46	0.9	4782E-0	6 0	.135	54E-0	4 0.	35763	E-06	0	.34	905E	S-07					
48 50	0.9 0.5	4782E-0 0178E-0	60 60	.1414	43E-0 67E-0	40. 50.	23842 17881	E-06 E-06	0	.12 .10	666E 431E	5-06 5-06					
																	-
2D			Pr	e c	K W A is	RD ior	1 E' E'	T			B	AC ce	ки сі	IAR sic	D n	F, F,	T.
N1	N2		Rea	1		Imac	g Ti:	me 			Rea	al 		In	nag	Tin	1e
32	32	0.1199	5E-0	5 0	.2057	2E-04	0.00	00	0.1	788	1E-(	)6	0.10	663E-	06	0.000	0
32 32	34 36	0.1095	2E-0: 6E-0:	50. 50.	.1817 .2250	9E-04 1E-04	1 0.00 1 0.00	00	0.1	192. 192:	1E-( 1E-(	)6 )6	0.63	061E- 339E-	-07	0.000	0
32	38	0.8865	8E-0	6 0	.8250	3E-05	5 0.00	01	0.1	788:	1E-0	)6	0.66	826E-	07	0.000	)1
32	40	0.1251	6E-05	50	.2243	0E-04	0.00	00	0.1	788:	1E-(	)6	0.95	745E-	.07	0.000	00
32 32	4Z 44	0.9908	9E-00 8E-00	6 U. 6 O.	1028.	1E-04 6E-04		00	0.1	980. 192.	1E-U 2E-0	)6 )6	0.12	938E-	·06	0.000	) () ) 1
32	46	0.1147	3E-05	5 0	.2384	0E-04	0.00	01	0.3	576:	3E-0	)6	0.63	112E-	07	0.000	)1
32	48	0.1251	6E-05	5 0	.2443	0E-04	0.00	00	0.2	781	6E-0	)6	0.12	973E-	06	0.000	0
32	50	0.1043	0E-05	5 0	.1698	3E-04	0.00	00	0.1	788:	1E-0	)6	0.11	206E-	06	0.000	10
34	32	0.1147	3E-05	5 0	.1817	9E-04	0.00	01	0.1	192:	1E-(	)6	0.78	046E-	07	0.000	1
48	50	0.1095	2E-05	5 0	.2084	0E-04	0.00	01	0.3	012	0E-0	)6	0.12	803E-	06	0.000	)1
50	32	0.9908	9E-0	60	.1698	3E-04	0.00	00	0.1	788:	1E-(	)6	0.11	192E-	06	0.000	10
50	34 36	0.8344	3ビーU( 0マーO	6 U. 5 O	1001	0E-04		01	0.1	/88. Зол'	1 ビー ( 2 〒 _ (	16	0.10 0.11	300F-	.06	0.000	)⊥ \1
50	38	0.4693	7E-0	5 0 6 0	.4710	1E-05	5 0.00	01	0.1	788:	1E-0	)6	0.10	619E-	-06	0.000	)1
50	40	0.9387	3E-00	6 0	.1884	0E-04	0.00	01	0.3	576	3E-0	)6	0.11	030E-	06	0.000	)1
50	42	0.6258	2E-06	6 0	.1232	2E-04	0.00	01	0.2	980:	2E-0	06	0.11	184E-	06	0.000	)1
50	44	0.4693	7E-0	60	.6696	7E-05	5 0.00	01	0.2	980:	2E-(	06	0.14	250E-	06	0.000	)1
50	46 18	0.9908	9E-00	6 U 5 O	2023	1E-04		01 01	0.2	384. 980'	2ビーU 2マー(	16	0.10	12026- 1175-	-06	0.000	/⊥ \1
50	50	0.5736	7E-0	6 0	.1339	3E-04	1 0.00	01	0.3	576	3E-0	)6	0.11	255E-	-06	0.000	)1
==== Prog Wors	ram t ca	TestSin se accu	gleto racie	on: I es	===== Resum	===== e		====	.===:	===:	====		====				
		Rea	F ( al	ORI	VAR Im	D ag		B Rea	A (	СК	W Z	A R Ima	D g				
													-				
1D 2D	0. 0.	94782E- 13559E-	06 ( 05 (	0.141 0.282	143E- 287E-	04 ( 04 (	).3576 ).7748	3E-0 6E-0	6 6	0.1	4948 8650	3E-0 )E-0	6 6				
Prog	ram	TestSin	glet	on: 1	Norma	l ter	minat	ion.									

 Table 2.15
 Output of program TestSingleton

#### 2.12 Module SortMod

Module *SortMod* in directory genscat/support/sort contains two routines for sorting the wind vector solutions found in the inversion step to their probability. The associated test program is

SortModTest. Its output is shown in table 2.16.

Test p	rogra	um fo:	r the	Sort	Mod m	odule		
Unsort	ed ar	ray						
10.0	9.0	8.0	7.0	6.0	5.0	4.0	3.0	2.0 1.0
After	GetSc	rtIn	dex					
1.0	2.0	3.0	4.0	5.0	6.0	7.0	8.0	9.0 10.0
Sorted	arra	iy, a:	fter :	SortW	ithIn	dex		
1.0	2.0	3.0	4.0	5.0	6.0	7.0	8.0	9.0 10.0

 Table 2.16
 Output of program SortModTest

### **3 PenWP integration test**

Directory penwp/tests contains one HDF5 file for testing the PenWP executable. File S1L2A2012006\_12113\_12114\_2.h5.gz contains (gzipped) Oceansat-2 OSCAT level 2a data from 6 January 2012, 13:51 to 14:03 UTC with 50 km cell spacing, as obtained from ISRO. The files ECMWF\*.grib contain the necessary NWP data (SST, land-sea mask and wind forecasts) to perform the NWP collocation step.

The user can test the proper functioning of PenWP using the files in the penwp/tests directory. To do this, first create a small file containing a list of NWP files, a land sea mask and forecast winds:

ls -1 ../data/lsm\_hires.grib > nwpflist

ls -1 ECMWF \* >> nwpflist

Note that the '-1' contains the number '1' and not the character '1'. Then, gunzip the HDF5 file:

gunzip -c S1L2A2012006\_12113\_12114\_2.h5.gz > S1L2A2012006\_12113\_12114\_2.h5

Convert the level 2a input file to BUFR:

../execs/oscat hdf2bufr -f S1L2A2012006 12113 12114 2.h5 -o oscat.bufr

Then run PenWP:

```
../execs/penwp_run_4ds -f oscat.bufr -nwpfl nwpflist -mss -mon -noc -verbosity 1
-genericws 4
```

The result should be two OSCAT level 2 files in BUFR format, called

oscat\_20120106\_135109\_ocsat2\_12113\_o\_500\_ovw\_l2.bufr and oscat 20120106\_135109\_ocsat2\_12113\_o\_500\_ovw\_l2.bufr.genws.

The first file is in NOAA BUFR format and the second file is in KNMI format with generic wind section.

#### **3.1 OSCAT test data**

Figure 3.1 shows the global coverage of the OSCAT test run on 50 km. The colours show the magnitude of the wind speed as indicated by the legend. Figure 3.2 shows detailed wind vector plots over the Atlantic west of Africa, with 50 km cell spacing. In the detail plot, a magenta marker on top of the wind arrow denotes land presence. Black wind arrows indicate that the KNMI Quality Control Flag is set.



Figure 3.1 Global coverage of the OSCAT test run. Wind speed results for the 50 km product are shown.



Figure 3.2 Detail plot of the OSCAT test run. Wind vectors for the 50 km product are shown.

The wind vector cells in Figure 3.2 are clearly on a regular swath grid which indicates that the backscatter slice averaging works well. We can simply approximate the WVC spacing from Figure 2. There are 36 wind vectors across track visible spanning a longitude range of ~18°. So the WVC spacing is  $18/36=0.50^{\circ}$ . The earth circumference at the plot area latitude ( $20^{\circ}$  north) is approximately  $40,000\times\cos(20^{\circ})=37,587$  km. This leads to a WVC spacing of  $0.50/360\times37,587=52.2$  km, close to the nominal 50 km grid spacing.

The processing times (wall clock time) for the different steps on a Fedora Linux workstation with Intel Xeon 3.6 GHz CPUs and 32 GB memory are:

- 0.5 seconds for L2A HDF5 -> L2A BUFR (oscat\_hdf2bufr)
- 2.5 seconds for L2A BUFR -> L2B BUFR (PenWP)

The input file in penwp/tests contains 1/8<sup>th</sup> of a full orbit. This takes approximately 3 seconds to process, hence processing a full orbit would take around 30 seconds maximum. This is well within the maximum allowed processing time of 5 minutes for one orbit. For 25 km products the processing time is approximately 4 times as long, around 2 minutes per orbit, which is still well within the requirement. Hence the wind processing can be done easily in near-real time on an affordable computer system.

Table 3.1 shows one decoded Wind Vector Cell of the resulting output file in NOAA BUFR format and table 3.2 the same WVC in KNMI BUFR format with generic wind section.

1	SATELLITE IDENTIFIER	421.0000	CODE TABLE
2	DIRECTION OF MOTION OF MO	195.0000	deg
3	SATELLITE SENSOR INDICATO	MISSING	CODE TABLE
4	WIND SCATTEROMETER GEOPHY	9.0000	CODE TABLE
5	SOFTWARE IDENTIFICATION (	2208.0000	Numeric
6	CROSS TRACK RESOLUTION	50000.0000	m
7	ALONG TRACK RESOLUTION	50000.0000	m
8	ORBIT NUMBER	12113.0000	Numeric
9	YEAR	2012.0000	a
10	MONTH	1.0000	mon
11	DAY	6.0000	d
12	HOUR	13.0000	h
13	MINUTE	51.0000	min
14	SECOND	9.0000	S
15	LATITUDE (COARSE ACCURACY	46.1700	deg
16	LONGITUDE (COARSE ACCURAC	-11.4400	deg
17	TIME DIFFERENCE QUALIFIER	5.0000	CODE TABLE
18	SECOND	0.0000	S
19	ALONG TRACK ROW NUMBER	MISSING	Numeric
20	CROSS-TRACK CELL NUMBER	6.0000	Numeric
21	SEAWINDS WIND VECTOR CELL	0.0000	FLAG TABLE
22	MODEL WIND DIRECTION AT 1	314.0900	deg
23	MODEL WIND SPEED AT 10M	4.4500	m/s
24	NUMBER OF VECTOR AMBIGUIT	2.0000	Numeric
25	INDEX OF SELECTED WIND VE	1.0000	Numeric
26	TOTAL NUMBER OF SIGMA-0 M	4.0000	Numeric
27	PROBABILITY OF RAIN	MISSING	Numeric
28	SEAWINDS NOF* RAIN INDEX	13.0000	Numeric
29	INTENSITY OF PRECIPITATIO	MISSING	kg m-2 s-1
30	ATTENUATION CORRECTION OF	MISSING	dB
31	WIND SPEED AT 10 M	4.6700	m/s
32	FORMAL UNCERTAINTY IN WIN	MISSING	m/s
33	WIND DIRECTION AT 10 M	327.5000	deg
34	FORMAL UNCERTAINTY IN WIN	1.6100	deg
35	LIKELIHOOD COMPUTED FOR S	0.6650	Numeric
36	WIND SPEED AT 10 M	4.2100	m/s
37	FORMAL UNCERTAINTY IN WIN	MISSING	m/s
38	WIND DIRECTION AT 10 M	180.0000	deg
39	FORMAL UNCERTAINTY IN WIN	2.5700	deg

40	LIKELIHOOD COMPUTED FOR S	
41	WIND SPEED AT 10 M	
42	FORMAL UNCERTAINTY IN WIN	
43	WIND DIRECTION AT 10 M	
44	FORMAL UNCERTAINTY IN WIN	
45	LIKELIHOOD COMPUTED FOR S	
46	WIND SPEED AT IU M	
4 / 10	MIND DIRECTION AT 10 M	
40	FORMAL UNCEPTATIVE IN MIN	
50	LIKELTHOOD COMPUTED FOR S	
51	ANTENNA POLARISATION	
52	TOTAL NUMBER (WITH RESPEC	
53	BRIGHTNESS TEMPERATURE	
54	STANDARD DEVIATION BRIGHT	
55	ANTENNA POLARISATION	
56	TOTAL NUMBER (WITH RESPEC	
57	BRIGHTNESS TEMPERATURE	
58	STANDARD DEVIATION BRIGHT	
59	NUMBER OF INNER-BEAM SIGM	
60	LATITUDE (COARSE ACCURACY	
61	LONGITUDE (COARSE ACCURAC	
63	ATTENUATION CORRECTION ON	
64	RADAR LOOK ANGLE RADAR INCIDENCE ANGLE	
65	ANTENNA POLARISATION	
66	SEAWINDS NORMALIZED RADAR	
67	KP VARIANCE COEFFICIENT (	
68	KP VARIANCE COEFFICIENT (	
69	KP VARIANCE COEFFICENT (G	
70	SEAWINDS SIGMA-0 QUALITY	
71	SEAWINDS SIGMA-0 MODE	
72	SEAWINDS LAND/ICE SURFACE	
73	SIGMA-0 VARIANCE QUALITY	
74	NUMBER OF OUTER-BEAM SIGM	
75	LATITUDE (COARSE ACCURACY	
/ 6 7 7	LUNGITUDE (CUARSE ACCURAC	
70	ATTENUATION CORRECTION ON	
70	RADAR LOOK ANGLE RADAR INCIDENCE ANGLE	
80	ANTENNA POLARISATION	
81	SEAWINDS NORMALIZED RADAR	
82	KP VARIANCE COEFFICIENT (	
83	KP VARIANCE COEFFICIENT (	
84	KP VARIANCE COEFFICENT (G	
85	SEAWINDS SIGMA-0 QUALITY	
86	SEAWINDS SIGMA-0 MODE	:
87	SEAWINDS LAND/ICE SURFACE	
88	SIGMA-U VARIANCE QUALITY	
90	LATITUDE (COARSE ACCURACY	
91	LONGITUDE (COARSE ACCURAC	
92	ATTENUATION CORRECTION ON	
93	RADAR LOOK ANGLE	
94	RADAR INCIDENCE ANGLE	
95	ANTENNA POLARISATION	
96	SEAWINDS NORMALIZED RADAR	
97	KP VARIANCE COEFFICIENT (	
98	KP VARIANCE COEFFICIENT (	
100	KP VARIANCE COEFFICENT (G	
100	SEAWINDS SIGMA-0 QUALIII	
101	SEAWINDS LAND/ICE SURFACE	
103	SIGMA-0 VARIANCE OUALTTY	
104	NUMBER OF OUTER-BEAM SIGM	
105	LATITUDE (COARSE ACCURACY	
106	LONGITUDE (COARSE ACCURAC	
107	ATTENUATION CORRECTION ON	
108	RADAR LOOK ANGLE	
109	RADAR INCIDENCE ANGLE	
110	ANTENNA POLARISATION	
111	SEAWINDS NORMALIZED RADAR	
112 112	AF VARIANCE COFFFICIENT (	
TTO	I'T AIN/TINGE COELETCTENT (	

0.3350	Numeric
MISSING	m/s
MISSING	dea
MISSING	deg
MISSING	Numeric
MISSING	m/s
MISSING	m/s deg
MISSING	deg
MISSING	Numeric
0.0000	CODE TABLE
58.0000 124 9000	Numeric
9.1000	K
1.0000	CODE TABLE
42.0000	Numeric
12.8000	K
1.0000	Numeric
46.1700	deg
-11.4200	deg
133 0400	dB dea
48.9600	deg
0.0000	CODE TABLE
-31.7800	dB
1.0040	Numeric
-86.2750	dB
0.0000	FLAG TABLE
0.0000	FLAG TABLE
MISSING	Numeric
1.0000	Numeric
46.1900	deg
-11.4400	deg dB
154.6300	deg
57.9000	deg
1.0000	CODE TABLE
1.0040	Numeric
0.0000	Numeric
-77.6000	dB
8192.0000	FLAG TABLE
0.0000	FLAG TABLE
MISSING	Numeric
46 1700	Numeric
-11.4400	deg
0.1700	dB
76.6300	deg
0.0000	CODE TABLE
-33.8500	dB
1.0040	Numeric
-86.2560	Numeric dB
0.0000	FLAG TABLE
4096.0000	FLAG TABLE
0.0000 MT99TNC	FLAG TABLE
1.0000	Numeric
46.1700	deg
-11.4500	deg dB
55.5400	dea
57.9000	deg
1.0000	CODE TABLE
-32.0100	aB Numeric
0.0000	Numeric

114 KP VARIANCE COEFFICENT (G	-78.2850	dB
115 SEAWINDS SIGMA-0 QUALITY	0.0000	FLAG TABLE
116 SEAWINDS SIGMA-0 MODE	12288.0000	FLAG TABLE
117 SEAWINDS LAND/ICE SURFACE	0.0000	FLAG TABLE
118 SIGMA-0 VARIANCE QUALITY	MISSING	Numeric

Table 3.1	Wind Vector	Cell in NOAA	. BUFR format

1	SATELLITE IDENTIFIER	421.0000	CODE TABLE
2	DIRECTION OF MOTION OF MO	195.0000	dea
3	SATELLITE SENSOR INDICATO	MISSING	CODE TABLE
1	WIND COMPERED CEODUV	0.000	CODE TABLE
4	WIND SCATTEROMETER GEOPHI	9.0000	CODE TABLE
5	SOFTWARE IDENTIFICATION (	13.0000	Numeric
6	CROSS TRACK RESOLUTION	50000.0000	m
7	ALONG TRACK RESOLUTION	50000.0000	m
8	ORBIT NUMBER	12113.0000	Numeric
9	YEAR	2012.0000	a
10	молтн	1 0000	mon
11	VAG	6 0000	d
10		12 0000	h
12	NUME	13.0000	11
13	MINUTE	51.0000	min
14	SECOND	9.0000	S
15	LATITUDE (COARSE ACCURACY	46.1700	deg
16	LONGITUDE (COARSE ACCURAC	-11.4400	deg
17	TIME DIFFERENCE QUALIFIER	5.0000	CODE TABLE
18	SECOND	0.0000	S
19	ALONG TRACK ROW NUMBER	MISSING	Numeric
20	CROSS-TRACK CELL NUMBER	6 0000	Numeric
20	TOTAL NUMBER OF GIGNA O M	0.0000	Numerie
21	TOTAL NUMBER OF SIGMA-U M	4.0000	Numeric
22	PROBABILITY OF RAIN	MISSING	Numeric
23	SEAWINDS NOF* RAIN INDEX	13.0000	Numeric
24	INTENSITY OF PRECIPITATIO	MISSING	kg m-2 s-1
25	ATTENUATION CORRECTION OF	MISSING	dB
26	ANTENNA POLARISATION	0.0000	CODE TABLE
27	TOTAL NUMBER (WITH RESPEC	58.0000	Numeric
28	BRIGHTNESS TEMPERATURE	124 9000	K
20	CEANDARD DEVIATION RECUT	1000	IC IC
29	STANDARD DEVIATION BRIGHT	9.1000	
30	ANTENNA POLARISATION	1.0000	CODE TABLE
31	TOTAL NUMBER (WITH RESPEC	42.0000	Numeric
32	BRIGHTNESS TEMPERATURE	198.0000	K
33	STANDARD DEVIATION BRIGHT	12.8000	K
34	NUMBER OF INNER-BEAM SIGM	1.0000	Numeric
35	LATITUDE (COARSE ACCURACY	46.1700	deq
36	LONGITUDE (COARSE ACCURAC	-11.4200	dea
37	ATTENIIATION CORRECTION ON	0 1700	dB
30	DADAD LOOK ANCLE	133 0400	dog
20	RADAR LOOK ANGLE	133.0400	deg
39	RADAR INCIDENCE ANGLE	48.9600	aeg
40	ANTENNA POLARISATION	0.0000	CODE TABLE
41	SEAWINDS NORMALIZED RADAR	-31.7800	dB
42	KP VARIANCE COEFFICIENT (	1.0040	Numeric
43	KP VARIANCE COEFFICIENT (	0.0000	Numeric
44	KP VARIANCE COEFFICENT (G	-86.2750	dB
4.5	SEAWINDS SIGMA-0 OUALITY	0.000	FLAG TABLE
46	SEAWINDS SIGMA-0 MODE	0 0000	FLAC TABLE
10	GENWINDS JAND/TCE SUBEACE	0.0000	FIAC TABLE
47	SEAWINDS LAND/ICE SURFACE	0.0000	rlag iable
48	SIGMA-U VARIANCE QUALITY	MISSING	Numeric
49	NUMBER OF OUTER-BEAM SIGM	1.0000	Numeric
50	LATITUDE (COARSE ACCURACY	46.1900	deg
51	LONGITUDE (COARSE ACCURAC	-11.4400	deg
52	ATTENUATION CORRECTION ON	0.1700	dB
53	RADAR LOOK ANGLE	154.6300	dea
54	RADAR INCIDENCE ANGLE	57.9000	deg
55	ANTENNA POLARISATION	1 0000	CODE TABLE
55	GEVMINUG NUDMALIGED DADAD	-26 2200	dB
 	VD WDDINNOB COPPERATENT (	-20.2300	uu Nomenei e
5/	KP VARIANCE COEFFICIENT (	1.0040	Numeric
58	KP VARIANCE COEFFICIENT (	0.0000	Numeric
59	KP VARIANCE COEFFICENT (G	-77.6000	dB
60	SEAWINDS SIGMA-0 QUALITY	0.0000	FLAG TABLE
61	SEAWINDS SIGMA-0 MODE	8192.0000	FLAG TABLE
62	SEAWINDS LAND/ICE SURFACE	0.0000	FLAG TABLE
63	SIGMA-0 VARIANCE OUALITY	MISSING	Numeric
60	NUMBER OF INNER-REAM STOM	1 0000	Numeric
0-1	TOTOL OF THEFT DUTIEND (TOLD	±.0000	

65	LATITUDE (COARSE ACCURACY	46.1700	deg
66	LONGITUDE (COARSE ACCURAC	-11.4400	deg
67	ATTENUATION CORRECTION ON	0.1700	dB
68	RADAR LOOK ANGLE	76.6300	deg
69	RADAR INCIDENCE ANGLE	48.9600	deg
70	ANTENNA POLARISATION	0.0000	CODE TABLE
71	SEAWINDS NORMALIZED RADAR	-33.8500	dB
72	KP VARIANCE COEFFICIENT (	1.0040	Numeric
73	KP VARIANCE COEFFICIENT (	0.0000	Numeric
74	KP VARIANCE COEFFICENT (G	-86 2560	dB
75	SEAWINDS SIGMA-0 OUALITY	0 0000	TLAG TABLE
76	SEAWINDS SIGMA-0 MODE	4096 0000	FLAG TABLE
70	SEAWINDS LAND/ICE SUDEACE	0.000	FLAG TABLE
70	STEMPTINDS THUD/ICE SOULACE	U.UUUU MTOOTNO	Numeric
70	NUMBER OF OUTER DEAM GIGH	I OCOO	Numeric
/9	NUMBER OF OUTER-BEAM SIGM	1.0000	Numeric
80	LATITUDE (COARSE ACCURACY	46.1/00	aeg
81	LONGITUDE (COARSE ACCURAC	-11.4500	aeg
82	ATTENUATION CORRECTION ON	0.1700	aB
83	RADAR LOOK ANGLE	55.5400	deg
84	RADAR INCIDENCE ANGLE	57.9000	deg
85	ANTENNA POLARISATION	1.0000	CODE TABLE
86	SEAWINDS NORMALIZED RADAR	-32.0100	dB
87	KP VARIANCE COEFFICIENT (	1.0040	Numeric
88	KP VARIANCE COEFFICIENT (	0.0000	Numeric
89	KP VARIANCE COEFFICENT (G	-78.2850	dB
90	SEAWINDS SIGMA-0 OUALITY	0.0000	FLAG TABLE
91	SEAWINDS SIGMA-0 MODE	12288.0000	FLAG TABLE
92	SEAWINDS LAND/ICE SURFACE	0.000	FLAG TABLE
93	SIGMA-0 VARIANCE QUALTTY	MISSING	Numeric
94	SOFTWARE IDENTIFICATION (	2208 0000	Numeric
95	CENERATING APPLICATION	91 0000	CODE TABLE
) J Q C	MODEL WIND ODEED AT 10M	1 4500	m/a
90 07	MODEL WIND DIDECTION 3 1	4.4500	111/ 5 doc
97	MODEL WIND DIRECTION AT I	314.0900	uey Nomenie
98	ICE PROBABILITY	MISSING	NUMETIC
100	ICE AGE ("A" PARAMETER)	MISSING	ar and and a
T00	WIND VECTOR CELL QUALITY	0.0000	FLAG TABLE
101	NUMBER OF VECTOR AMBIGUIT	2.0000	Numeric
102	INDEX OF SELECTED WIND VE	1.0000	Numeric
103	DELAYED DESCRIPTOR REPLIC	4.0000	Numeric
104	WIND SPEED AT 10 M	4.6700	m/s
105	WIND DIRECTION AT 10 M	327.5000	deg
106	BACKSCATTER DISTANCE	-1.6000	Numeric
107	LIKELIHOOD COMPUTED FOR S	-0.1770	Numeric
108	WIND SPEED AT 10 M	4.2100	m/s
109	WIND DIRECTION AT 10 M	180.0000	deg
110	BACKSCATTER DISTANCE	-2.6000	Numeric
111	LIKELIHOOD COMPUTED FOR S	-0.4750	Numeric
112	WIND SPEED AT 10 M	MISSING	m/s
113	WIND DIRECTION AT 10 M	MISSING	dea
114	BACKSCATTER DISTANCE	MISSING	Numeric
115	LIKELTHOOD COMPUTED FOR S	MISSING	Numeric
116	WIND SPEED AT 10 M	MISSING	m/s
117	WIND DIRECTION AT 10 M	MIGGING	dea
110	MIND DIVECTION VI TO M	MICCINC	Numeric
110	DACESCALLER DISTANCE	MISSING	Numeric
тт Э	LIKELIHOOD COMPUTED FOR S	MISSING	Numeric

 Table 3.2
 Wind Vector Cell in KNMI BUFR format with generic wind section

From the plots and tables in this section it is clear that:

- Output can be provided in two BUFR formats.
- The Wind Vector Cell spacing is 50 km, see fields 6 and 7 in the BUFR outputs and the computation based on Figure 3.2 above.
- The output contains latitude, longitude, time, orbit and node numbers, NWP background wind vector, WVC quality flag, and information on the radar backscatter including  $\sigma^0$  and  $K_p$  data.
- A consistent wind field is obtained which proves that both HDF5 and GRIB data are read

successfully.

• The atmospheric attenuations are present in the BUFR output (fields 62, 77, 92 and 107 in the NOAA BUFR format).

The test was re-run with the BUFR output file as input and this results in a new output file with the same wind information. Hence, it is clear that PenWP accepts BUFR data as input as well as HDF5.

Table 3.3 shows what happens when the MLE value exceeds the threshold for Quality Control. The MLE of the fourth wind solution (the selected one by ambiguity removal) is contained in field 44 and has a value of 40.31. This is above the threshold value of 14.4 corresponding to wind speeds close to 8 m/s. The Wind Vector Cell Quality (field 21) has an integer value of 1028, i.e., Fortran bits 10 and 2 are set, corresponding to the flags for KNMI Quality Control and Rain.

21	SEAWINDS WIND VECTOR CELL	<mark>1028.0000</mark>	FLAG TABLE
22	MODEL WIND DIRECTION AT 1	152.4700	deg
23	MODEL WIND SPEED AT 10M	6.4400	m/s
24	NUMBER OF VECTOR AMBIGUIT	4.0000	Numeric
25	INDEX OF SELECTED WIND VE	<mark>3.0000</mark>	Numeric
26	TOTAL NUMBER OF SIGMA-0 M	4.0000	Numeric
27	PROBABILITY OF RAIN	MISSING	Numeric
28	SEAWINDS NOF* RAIN INDEX	13.0000	Numeric
29	INTENSITY OF PRECIPITATIO	MISSING	kg m-2 s-1
30	ATTENUATION CORRECTION OF	MISSING	dB
31	wind speed at 10 m	7.2600	m/s
32	FORMAL UNCERTAINTY IN WIN	MISSING	m/s
33	WIND DIRECTION AT 10 M	292.5000	deg
34	FORMAL UNCERTAINTY IN WIN	2.1800	deg
35	LIKELIHOOD COMPUTED FOR S	0.9980	Numeric
36	wind speed at 10 m	8.6100	m/s
37	FORMAL UNCERTAINTY IN WIN	MISSING	m/s
38	WIND DIRECTION AT 10 M	117.5000	deg
39	FORMAL UNCERTAINTY IN WIN	10.9100	deg
40	LIKELIHOOD COMPUTED FOR S	0.0020	Numeric
41	WIND SPEED AT 10 M	8.8900	m/s
42	FORMAL UNCERTAINTY IN WIN	MISSING	m/s
43	WIND DIRECTION AT 10 M	150.0000	deg
44	FORMAL UNCERTAINTY IN WIN	<mark>40.3100</mark>	deg
45	LIKELIHOOD COMPUTED FOR S	0.0000	Numeric
46	wind speed at 10 m	7.9600	m/s
47	FORMAL UNCERTAINTY IN WIN	MISSING	m/s
48	WIND DIRECTION AT 10 M	0.0000	deg
49	FORMAL UNCERTAINTY IN WIN	40.3800	deg
50	LIKELIHOOD COMPUTED FOR S	0.0000	Numeric

Table 3.3 Part of Wind Vector Cell in NOAA BUFR format, rejected by Quality Control

#### 3.2 HY-2B, HY-2C and HY-2D test data

One of the new features in PenWP version 4.0 is the ability to process data from the Haiyang 2B/2C/2D satellites. In this section basic tests are presented to show that the software package is able to read in level 1b data from these new platforms and will process the data into wind data in BUFR format. For the scientific validation of these winds we refer to [9]. For the tests in this section, input files from the near-real time OSI SAF processing were taken, together with ECMWF wind forecast GRIB files.

Test for **HY-2B** input data, first convert level 1b HDF5 file into BUFR format:

```
penwp/execs/hscat_llb_bufr -f
H2B_OPER_SCA_L1B_EG_20220809T054034_20220809T073000_19017_dps_20.h5 -o tmp.bufr
```



The program converts the HDF5 data and reports that HY-2B data are found:

nscat_lib_buir	
hscat_l1b_bufr - input data characteristics	
hscat_l1b_bufr - <mark>data is from HY-2B</mark>	
hscat_l1b_bufr	
hscat_l1b_bufr - NSOAS processor version	10
hscat_l1b_bufr - start orbit number	19016
hscat_l1b_bufr - end orbit number	19018
hscat_l1b_bufr - number of frames	13000
hscat_l1b_bufr	
hscat_l1b_bufr - output data characteristics	
hscat_l1b_bufr - cell separation (pixel size)	25000
hscat_l1b_bufr - time increment per row	3.859506180105948
hscat_l1b_bufr - nr. of data rows	1772
hscat_l1b_bufr - nr. of cells per row	76
hscat_l1b_bufr - leftmost cell containing HH	10
hscat_l1b_bufr - rightmost cell containing HH	67
hscat_l1b_bufr	
<pre>penwp: write_bufr_file - file written: tmp.bufr</pre>	

Subsequently, the PenWP wind processor is used to compute winds for the temporary file tmp.bufr:

penwp/execs/penwp\_run\_4ds -f tmp.bufr -nwpfl nwpflist -noc -mss -sstcor -verbosity 1

This results in a HY-2B BUFR output file of non-zero size:

-rw-r--r- 11285304 Aug 9 16:05 hscat\_20220809\_053815\_hy\_2b\_19016\_0\_250\_ovw\_12.bufr

Test for **HY-2C** input data, first convert level 1b HDF5 file into BUFR format:

penwp/execs/hscat\_llb\_bufr -f **H2C** OPER SCA L1B EG 20220809T045540 20220809T064445 09452 dps 20.h5 -o tmp.bufr

The program will convert the HDF5 file into BUFR and reports that HY-2C data are found:

hscat l1b bufr	
hscat 11b bufr - input data characteristics	
hscat llb bufr - <mark>data is from HY-2C</mark>	
hscat 11b bufr	
hscat 11b bufr - NSOAS processor version	10
hscat l1b bufr - start orbit number	9451
hscat 11b bufr - end orbit number	9453
hscat 11b bufr - number of frames	13000
hscat l1b bufr	
hscat 11b bufr - output data characteristics	
hscat 11b bufr - cell separation (pixel size)	25000
hscat 11b bufr - time increment per row	3.846048263684521
hscat l1b bufr - nr. of data rows	1775
hscat_l1b_bufr - nr. of cells per row	76
hscat l1b bufr - leftmost cell containing HH	10
hscat l1b bufr - rightmost cell containing HH	66
hscat_11b_bufr	
penwp: write bufr file - file written: tmp.bufr	

Subsequently, the PenWP wind processor is used to compute winds for the temporary file tmp.bufr:

penwp/execs/penwp run 4ds -f tmp.bufr -nwpfl nwpflist -noc -mss -sstcor -verbosity 1

This results in a HY-2C BUFR output file of non-zero size:

-rw-r--r- 11277393 Aug 9 16:36 hscat 20220809 045320 hy 2c 09451 o 250 ovw 12.bufr

Test for **HY-2D** input data, first convert level 1b HDF5 file into BUFR format:

penwp/execs/hscat\_llb\_bufr -f H2D OPER SCA L1B EG 20220809T042914 20220809T061820 06062 dps 22.h5 -o tmp.bufr

The program will convert the HDF5 file into BUFR and reports that HY-2D data are found:

OSI SAF	PenWP Test Plan and Test Report	Doc ID Version Date	: NWPSAF-KN-TV-008 : 4.0.02 : August 2022

iiseac iib ball	
hscat l1b bufr - input data characteristics	
hscat l1b bufr - <mark>data is from HY-2D</mark>	
hscat 11b bufr	
hscat llb bufr - NSOAS processor version	10
hscat 11b bufr - start orbit number	6061
hscat 11b bufr - end orbit number	6063
hscat 11b bufr - number of frames	13000
hscat_l1b_bufr	
hscat l1b bufr - output data characteristics	
hscat 11b bufr - cell separation (pixel size)	25000
hscat 11b bufr - time increment per row	3.843817754262200
hscat_l1b_bufr - nr. of data rows	1775
hscat_l1b_bufr - nr. of cells per row	76
hscat l1b bufr - leftmost cell containing HH	10
hscat l1b bufr - rightmost cell containing HH	66
hscat_l1b_bufr	
penwp: write bufr file - file written: tmp.bufr	

Subsequently, the PenWP wind processor is used to compute winds for the temporary file tmp.bufr:

penwp/execs/penwp run 4ds -f tmp.bufr -nwpfl nwpflist -noc -mss -sstcor -verbosity 1

This results in a HY-2D BUFR output file of non-zero size:

-rw-r--r- 11688131 Aug 9 16:40 hscat 20220809 042656 hy 2d 06061 o 250 ovw 12.bufr

On top of that, a test was done to check the proper handling of corrupted input data. In case of a corrupted input file the software should terminate with a proper error message and without unwanted effects like segmentation faults. In any case, no output file should be produced. To construct a corrupted input file, the Linux 'head' command was used on a valid file.

head -5 H2B OPER SCA L1B EG 20220809T054034 20220809T073000 19017 dps 20.h5 > tmp.h5

This results in a very small, truncated file.

-rw-r--r-- 2205 Aug 9 16:41 tmp.h5

hecat 11b bufr

Run the program to try to convert this input file into BUFR format:

penwp/execs/hscat l1b bufr -f tmp.h5 -o tmp.bufr

The program will show error messages and terminate without producing output:

```
HDF5-DIAG: Error detected in HDF5 (1.12.0) thread 0:
  #000: H5F.c line 793 in H5Fopen(): unable to open file
   major: File accessibility
   minor: Unable to open file
  #001: H5VLcallback.c line 3500 in H5VL file open(): open failed
   major: Virtual Object Layer
   minor: Can't open object
  #002: H5VLcallback.c line 3465 in H5VL file open(): open failed
   major: Virtual Object Layer
   minor: Can't open object
  #003: H5VLnative_file.c line 100 in H5VL__native_file_open(): unable to open file
   major: File accessibility
   minor: Unable to open file
  #004: H5Fint.c line 1707 in H5F open(): unable to read superblock
   major: File accessibility
   minor: Read failed
  #005: H5Fsuper.c line 621 in H5F_super_read(): truncated file: eof = 2205, sblock-
>base addr = 0, stored eof = 55948608
   major: File accessibility
   minor: File has been truncated
hscat 11b bufr - error in h5f open
Warning: ieee inexact is signaling
FORTRAN STOP
```

### 4 Validation tests

There are several methods to validate scatterometer winds. Scatterometer winds are routinely compared with NWP data and in situ buoy winds in the OSI SAF project. See <u>https://scatterometer.knmi.nl/osisaf/</u> for more information. In the scope of this Test Report, we show the results of a validation study of PenWP winds versus model wind forecasts from the ECMWF model. The correct implementation of the ice screening algorithm is demonstrated in section 4.2.

#### 4.1 **PenWP winds versus ECMWF winds**

We compared the Oceansat-2 OSCAT 50 km winds from PenWP with ECMWF forecast winds from the ERA5 reanalysis (+3 to +21 hours forecasts from the 06 UTC and 18 UTC runs). The OSCAT data are level 1b data version 1.3 from ISRO from 9 and 10 February 2012 (29 orbits), reprocessed with PenWP.

Figure 4.1 shows the collocations of the OSCAT and ECMWF winds. Contoured histograms are shown for wind speed, wind direction and u and v wind components and after rejection of Quality Controlled (KNMI QC flagged) wind vectors. The ECMWF winds are stress-equivalent 10m winds. In the wind direction plots, only those wind vectors where the model wind speed is at least 4 m/s are taken into account. The bin sizes for the histograms are 0.5 m/s for wind speed, u and v, and 2.5° for wind direction.

From the contour plots it is clear that biases are generally low. We obtain wind component standard deviations of 1.12 m/s in *u* and 1.06 m/s in *v* directions. This is comparable to the values we found for Haiyang-2B compared to ECMWF winds from the operational model [9]: approximately 1.20 m/s in *u* and 1.14 m/s in *v* for the 25-km product and approximately 1.05 m/s in *u* and 0.99 m/s in *v* for the 50-km product in the same period of the year.

These numbers can be compared to the accuracy requirements for all OSI SAF scatterometer wind products stated in the OSI SAF Product Requirements Document [4]: 'Better than 2 m/s in wind component std. dev. with a bias of less than 0.5 m/s in wind speed on a monthly basis'. Hence the bias and wind component standard deviations for the PenWP winds are well within the general OSI SAF product requirements [11]. The reason for not using buoy data for verification is that we need at least 2 to 3 months of data to gather enough statistics. This is normally done in Operational Readiness Reviews for OSI SAF wind products. ECMWF comparisons can be gathered with only one day of data and give a good indication of the quality of the retrieved winds, i.e., if the winds are within. OSI SAF requirements when comparing with ECMWF winds, they will also be within OSI SAF requirements when comparing with buoy winds.



Figure 4.1 Collocation results of Oceansat-2 winds from PenWP and ECMWF ERA5 forecast winds. Biases and standard deviations in bottom plots are in m/s for wind speed and components, in degrees for wind direction.

#### 4.2 Ice screening test

Figure 4.2 shows the ice maps for North and South poles after processing two days of data. The test data are the same as in the previous section, i.e., 9 and 10 February 2012. Ice maps of the North Pole and South Pole are provided. The blue parts in the maps indicate open water; the black parts correspond to land areas or areas not visited within these two days. The gray scale is a measure of the ice *A*-parameter (albedo). Multi year ice has in general a higher albedo than first year ice, so lighter areas correspond to older ice. In the scope of this report we did not verify the ice extent in detail with other measurements. More information about the ice screening algorithm can be found in [10].



Figure 4.2 PenWP ice maps for North Pole (left) and South Pole (right).

**OSI SAF** 

## 5 **Portability tests**

The PenWP software package inherits its portability by using strict Fortran 90 code (with a few low level routines for reading and writing binary in C). PenWP is delivered with a complete make system. The Makeoptions include file of genscat takes care of the different settings needed under various platforms. This Makeoptions file is also used for the ASCAT wind processor AWDP and the CFOSAT scatterometer wind processor CWDP.

The default platform for development is a Linux work station. Different Fortran 90 compilers were used to compile both genscat and PenWP. Table 5.1 provides an overview of the platforms and compilers on which PenWP was tested successfully.

Platform	Operating system	Fortran compiler
Intel-based workstation	Fedora Linux v34	Portland f90 v11.10-0
		Gfortran v11.2.1-1
Linux cluster	Redhat Linux v7.9	Gfortan v4.8.5-44
Apple MacBook	MacOS X Darwin	GNU Gfortran

 Table 5.1
 Supported platforms and compilers for PenWP.

## **6** User documentation tests

The user documentation (readme files within the software package and the PenWP user documents, [1], [2], [3]) have been provided to beta testers for review. The beta tester's comments have been implemented in the user documentation. User feedback on the documentation will also be implemented in future versions of the documentation.

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# **Appendix A: Acronyms**

Name	Description
ASCAT	Advanced SCATterometer on Metop
AWDP	ASCAT Wind Data Processor
BUFR	Binary Universal Form for the Representation of data
C-band	Radar wavelength at about 5 cm
ECMWF	European Centre for Medium-range Weather Forecasts
EUMETSAT	European Organization for the Exploitation of Meteorological Satellites
genscat	generic scatterometer software routines
HSCAT	Scatterometer onboard of the Chinese Haiyang-2 series satellites
ISRO	Indian Space Research Organisation
KNMI	Koninklijk Nederlands Meteorologisch Instituut (Royal Netherlands Meteorological
	Institute)
Ku-band	Radar wavelength at about 2 cm
L1b	Level 1b product
LSM	Land Sea Mask
Metop	Meteorological Operational Satellite
MLE	Maximum Likelihood Estimator
MSS	Multiple Solution Scheme
NRCS	Normalized Radar Cross-Section ( $\sigma^0$ )
NWP	Numerical Weather Prediction
OSI	Ocean and Sea Ice
OSCAT	Scatterometer onboard of the Indian Oceansat and ScatSat satellites
OWDP	OSCAT Wind Data Processor
PenWP	Pencil beam Wind Processor
QC	Quality Control
SAF	Satellite Application Facility
SDP	SeaWinds Data Processor
SST	Sea Surface Temperature
WVC	Wind Vector Cell, also called node or cell

**Table A.1**List of acronyms.